

JOHN SLITER

#11 Loyalist Crescent, Ingleside, Ontario K0C 1M0 ~ (613) 534-2739 ~ john.sliter@jsconsultinggroup.ca

EMPLOYMENT HISTORY

President

JS Consulting Group Services

April 2015 – Current

I am a consultant available for leadership and/or post review of Financial Crime Investigations. I have extensive experience in the Development and Management of International Police Training programs, Criminal Record Background Screening and am also a recognized expert in Capital Markets Fraud.

Director, Field Services

Canadian Police Information Centre ('CPIC')
HQ, Ottawa

July 2012 – April 2014

The Canadian Police Information Centre is a computerized information system which provides Canadian criminal justice agencies with information required to carry out their mandates. CPIC is used by more than 320 police agencies and other law enforcement partners, representing over 80,000 users. Access is strictly controlled and filtered according to each agency's legislated mandate at each of the 2000 data entry points. Some CPIC access is also provided to U.S. law enforcement agencies through a mutual sharing arrangement and gateway. The Director of Field Services is responsible for all CPIC field offices across the country that audit and ensure compliance with CPIC policy.

During my tenure here I initiated and led the development of an electronic audit system to reduce / alleviate the necessity for physical audits resulting in significant cost saving measures to the program. This audit system used the priority-based algorithms I had designed for the Economic Crime Program several years earlier.

Acting Director General

Royal Canadian Mounted Police
Canadian Police Information Centre (CPIC)
HQ, Ottawa

Sept 2011 – July 2012

Director, Field Services

Royal Canadian Mounted Police
Canadian Police Information Centre (CPIC)
HQ, Ottawa

Oct 2010 – Sept 2011

Director, Special Projects

Royal Canadian Mounted Police
Canadian Police College (CPC)
HQ, Ottawa

July 2008 – Oct 2010

I led the development for a White-Collar Crime series of training courses in which I advocated an integrated learning approach involving other investigative agencies from the private and regulatory sectors. This initiative began with several pilot courses and has led to the adoption of the principles behind integrated training and the CPC is now seeking a modification to its mandate to allow for some permanency to these

concepts. Topics included the conduct of Criminal and Regulatory investigations and the Sharing of Information between various authorities with particular respect to compulsion authorities.

I also led the start-up of the CPC's International Programs Group, which focuses on business development in the international police community. This initiative has been a tremendous success, both supporting Canada's foreign and policing priorities, and generating revenue in support of other CPC domestic programs. I went on to lead new international training initiatives in numerous countries including the Caribbean, Mexico and Afghanistan.

French Language Training

April 2008 – July 2008

Director

Integrated Market Enforcement Program (IMET)

2003 – April 2008

Royal Canadian Mounted Police (Superintendent)
HQ, Ottawa.

As the founding Director of Integrated Market Enforcement Program ('IMET'), I led the establishment of five new offices at Montreal, Ottawa, Toronto, Calgary and Vancouver, all requiring extensive contracts for complete ground up secure builds, including furniture, computers etc. This 2003 initiative had a very tight timeline imposed by the Federal Government. I exercised strong national leadership as I managed this very ambitious project which required goals, diary dates, delegated authorities, communication with four field offices (direct reports) all with a view to enhance public confidence in Canada's capital markets. I set up performance agreements for five regional executive level direct reports which included challenging goals, delegated authorities, and monitored progress accordingly. As implementation was completed, I then exercised overall leadership of complex investigations pertaining to criminal enforcement in Capital Markets.

Officer in Charge of Policy & Program Management

Economic Crime Branch

1998 - 2003

Royal Canadian Mounted Police
HQ, Ottawa.

I was 2nd in charge of overall Economic Crime program, consisting of 20 field offices with approx 475 positions. Mandate included Corporate Fraud, Securities Fraud, Bankruptcy Fraud, Identity Theft, Counterfeit and Technological Crime. During my tenure here I designed and implemented a prioritization system for all Federal RCMP Operational Files, entitled 'PROOF' - PRioritization of Operational Files.

I designed and implemented an on-line crime reporting system for economic crimes entitled 'RECOL' - Reporting Economic Crime On-Line.

I also initiated and led the nationalization of the Ontario Provincial Police' Phonebusters program to establish the Canadian National Anti-Fraud Centre.

National Securities Fraud Program Coordinator

Royal Canadian Mounted Police
HQ, Ottawa

1996 - 1998

I led the national program for Securities Fraud within the RCMP and, as such, was responsible for the ongoing development of national personnel with respect to experience and expertise. I also led and managed the development of the RCMP Stock Market Analysis for the Reconstruction of Trades ('SMART') system, later evolving into the Market Integrity Computer Analysis ('MICA').

NCO i/c RCMP British Columbia Securities Fraud Office

Royal Canadian Mounted Police
Vancouver, British Columbia

1995-1996

I drafted the Business Case & designed, implemented and led a new unit to create an enhanced investigative capacity to target securities fraud within British Columbia. This was done in response to the Matkin enquiry of the Vancouver Stock Exchange. I led a team of investigators in the conduct of several high profile criminal and regulatory investigations in Alberta and British Columbia. Some of these investigations regulated in charges relative to the Criminal Code of Canada and the two provincial Securities Act's.

Securities Fraud / Commercial Crime Investigator

Royal Canadian Mounted Police
Vancouver, British Columbia

1986-1995

** Received Attorney General's commendation for work in International Securities Fraud Investigations*

Community Policing Coordinator

Royal Canadian Mounted Police
Williams Lake, B.C.

1984-1986

** Nominated for Citizen of the Year for extracurricular activities in various community organizations.*

General Duty Police Officer

Royal Canadian Mounted Police
Williams Lake, B.C.

1980-1984

Computer Programmer / Systems Analyst

Royal Canadian Mounted Police
Ottawa, HQ.

1978-1980

EDUCATION

Executive Masters of Business Administration ("MBA")

1991-1993

*Simon Fraser University
Vancouver, British Columbia*

** MBA Thesis: "Policy Review of the Role of the RCMP in Securities Fraud Enforcement" received international media attention.*

Undergraduate Program – Commerce

1989-1990

*University of British Columbia
Vancouver, British Columbia*

Diploma in Business Administration - Finance

1975-1978

*St. Lawrence College
Cornwall, Ontario*

** President of Student Government*

** Awarded Scholarship for highest grades in Student Government in three campuses.*

KEY SKILLS/COMPETENCIES

- Program Management – Director of a national program; knowledge of the legal framework governing the administrative facets of the organization including human resources, business administration and internal controls.
- Performance Measurement & Accountability - design & implementation of National Accountability Framework.
- Sound judgment combined with unquestionable ethics and integrity.
- Technological systems and application development.
- International Financial Investigation Management – Organization Strategic Planning - ability to see reputational integrity issues in the overall corporate context and understand the implications of various courses of action.
- Managing Partnerships - key stakeholder relations with external strategic partners.
- Managing National Budget - \$40 million annually 2003 - 2007.
- Public Speaking / Stakeholder Relations.
- Policy Creation and Implementation.
- Human Resource Management (150 employees). Outstanding management, leadership, organizational and interpersonal skills.
- Project Leadership / Police Manager/Supervisor in municipal, provincial and federal policing business lines.
- Fluently Bilingual - French & English – advanced level >CBC=.
- RCMP Security Clearance - Top Secret.

REFERENCES & RECOMMENDATIONS

"I have come to know John Sliter as a senior and experienced leader who is not afraid to think outside of conventional principles/practices yet keep a firm eye on risk management and consistent deliverables for the organization. He has a very strong technology background, and is well versed in business principles. He has frequently made presentations on his own or on behalf of the RCMP around the world on topical ideas and concepts. Most importantly he is a strong people person who understands how he can impact the organizational culture to positively change the mindset and eventual outcomes from his team members. He has fostered strong relationships outside the RCMP as well".

— **Mr. Rod Piukkala,**

Former Deputy Chief, Durham Regional Police Service.

"I have known John Sliter since 1992, when we worked together in Vancouver Commercial Crime Section. Our professional collaboration continued in Ottawa between 2002 and 2005. During those years, John was in Commercial Crime Branch and the first Director of the Integrated Market Enforcement Teams (IMET), where he was my direct subordinate. John is probably the leading visionary in Canadian law enforcement, with relation to white collar crime. He is always ahead of the curve, looking to the future, developing new strategies and new programs. The IMET teams are largely the product of his vision and foresight. He also had the vision of economic crime becoming a national priority of the RCMP- which it now is. He has developed systems for assessing incoming complaints, and helped develop the Phonebusters international call center. John is well known internationally and a fixture at such international gatherings as the Cambridge Economic Crime Symposium. He has been absolutely critical to the RCMP's success in many aspects of financial crime enforcement and would be a valuable asset to any organization."

— **Peter M. German, Ph.D.**

Former Regional Deputy Commissioner (Pacific) Correctional Service Canada,
and Former Deputy Commissioner, RCMP

"John is a leader in white collar crime investigation and education. He is a pioneer in the area of partnering between private and public fraud sectors as an effective tool in the fight against fraud. John has a reputation for integrity and is very well known in the fraud investigation community. I have known John for several years. Please contact me for a further recommendation for John".

— **Jim Patterson, Partner, Bennett Jones LLP** – (example of external stakeholder)

"I have known John for over 15 years during which he has always impressed me with his forward thinking and analytical skills. A man of true integrity, John has been at the forefront of numerous police initiatives which have now become established in police practice Worldwide. The work we collaborated on with the setting up of RECOL and NW4C, both of which were brainchilds of John will be a lasting legacy to John's professionalism and dedication. I have no hesitation in endorsing him."

— **Mike Dixon, Former Detective Inspector, Metropolitan Police**
– (example of external partner)

"I have had the good fortune of working with John on several files over the years. The creation of the National White Collar Crime Centre of Canada stands out as an example of John's leadership, vision and drive. It was John's idea to create a body to bring together police and the financial sector in order to provide a means to attack the ever increasing incidence fraud and other financial crimes. His idea was to create a critical mass of information which would be beneficial to investigators, and to provide education to police/regulatory agencies and the private financial sector on matters such as investigative best practices and legal issues. In addition, John wanted to inform the public how to avoid being victimized. John successfully brought together the prime stakeholders, including representatives of various police services and the financial sector to generate support for the concept. John's true strength lies in his ability to see deficiencies which will arise in the future and to plan the steps necessary to fill the void. I would not hesitate to recommend him."

— **John Dickson, Former Senior Manager, Forensic & Dispute Services, Deloitte Canada** –

"John is a very detailed individual who can be counted on to complete complicated tasks. He is passionate in his work and constantly strives to exceed expectations. John has an outgoing personality and gets along well with peers, subordinates and supervisors. I highly recommend John for any position he may be seeking."

— **George Gunn, Former Manager, Enforcement Branch, Ontario Securities Commission** –
– (example of external partner)

"I worked for John helping him implement a Memorandum to Cabinet which he wrote for the Government of Canada. It was an extensive business plan for the Integrated Market Enforcement Team program within the RCMP. John had the uncanny ability to see far ahead how a complicated endeavor like that could be broken down into tangible steps for each person to execute. He was a motivational leader that put confidence in his staff and empowered them to go out and get their jobs done, with oversight. But that created a strong sense of team and purpose. Looking back it is quite incredible given the number of moving parts in government and to be able to get so many of them moving in the same direction."

— **Richard Bergman**, Former Operations Review NCO - Integrated Market Enforcement

– (example of direct report subordinate)

"John was my direct supervisor with the RCMP's Integrated Market Enforcement Team. John is the consummate professional. He showed superior leadership in implementing a new RCMP program. He also is a person with managerial vision - he is often "ahead of the curve". I would recommend John without question for any assignment."

— **Craig Hannaford**, Former Superintendent - Officer in Charge, Toronto IMET – RCMP

– (example of direct report subordinate)

"I have known John for almost 20 years. He has always been an enthusiastic, goal orientated manager who's greatest fault was passion for his job. I think John will do well in whatever field of endeavor he decides to look after his lengthy and varied experience with the RCMP. If anyone needs a reference for John, I would be happy and indeed honoured to provide it."

— **Alex Popovic**, Former Assistant Vice President, Sun Life Financial, Toronto

– (example of external stakeholder)

"I have known and worked with John Sliter for almost 10 years. During this time we have worked on several major projects together, all of which were successful and fruitful. One specific project comes to mind immediately, from several years ago. We organized and held a G-8 Law enforcement sub group meeting on the topic of internet based crime reporting systems. John was instrumental in securing the attendance of the other nations, including Germany, Italy and Japan, in addition to the US and Canada. John ultimately facilitated this meeting. I am very happy to recommend John, and his competent abilities on a personal and professional basis."

— **Mark Gage**, Former Deputy Director, National White Collar Crime Center – United States

– (example of external stakeholder)

"I have always been impressed by this consummate professional who is one of the most forward thinking persons I know. It was a privilege to be able to watch John in action as he created RECOL and NW4C, and witness his strong leadership skills where he was able to bring together all types of expertise from both the public and private sector. With great ease and class John was always able to instill a feeling of camaraderie and 'importance to the cause' with all that worked with him. John's character commands respect with all those he encounters and he has been an invaluable mentor to me."

— **Shwery Helen**, Former Senior Fraud Investigator, Equitable Life of Canada

– (example of external stakeholder)

"John was one of the smartest and hardest working members of the Force that I ever had the pleasure of working with. There was never any shortage of new ideas and suggestions as to how to improve the efficiency and effectiveness of the Program. He was a very strong leader and excellent role model for members of the Commercial Crime Program."

— **Dave Jeggo**, Former Director of Commercial Crime Program, Royal Canadian Mounted Police

"When I first worked with John in Vancouver Commercial Crime Section he was doing his MBA, with a focus on Securities Fraud. Later on, I watched as John's MBA thesis was brought to life with the creation of the Integrated Market Enforcement Team Program. As Director of the IMET Program across Canada, John facilitated the creation and start-up of this extremely valuable enforcement program set-up to combat securities fraud in Canada. Hat's off to you John, for your vision and stick-to-itiveness. Well done!"

— **Jim Ogden**, Inspector, Royal Canadian Mounted Police

PUBLICATIONS

1. 'Techno-Risk – The Perils of Learning and Sharing Everything' from a Criminal Information Sharing Perspective' -

September 10, 2012 - Paper was presented at the 30th Annual Symposium on Economic Crime in Cambridge, England.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=2143915

ABSTRACT:

“The author has extensive law enforcement experience and the paper is intended to provoke thought on the use of technology as it pertains to information sharing between the police and the private sector.

As the world edges closer and closer to the convergence of man and machine, the human capacity to retrieve information is increasing by leaps and bounds. We are on the verge of knowing everything and anything there is to know, and literally in the blink of an eye!

This means that police will have the capacity to learn everything about everyone with the only restriction being privacy legislation. But it also means that those involved in immoral, unlawful or illegal activity will have that same capacity and with no such restriction. ‘Bad Brother’ may be far more dangerous than ‘Big Brother!’

The global community requires a secure and credible system to retrieve and assess all of the information ‘generally available to the public.’ A system that will strive to keep ‘Big Brother’ in check and ‘Bad Brother’ out, all the while providing a means of alerting citizens to genuine risks or to dangerous people. Such a system would help diffuse the systemic inaccurate and harmful profiling that is often based on rumours and innuendo.

There is an identified public-private partnership opportunity. A chance to work with privacy advocate groups and background checking private companies to define, design and deliver on something that will be of immense benefit to citizens around the globe.

We have an opportunity to create something that will work to ensure that only the best information gets used and in a moralistic, lawful and legal way! Technology continues to move forward at incomprehensible speeds – failure to act could have serious consequences.”

2. 'The Risk of Being Un-Informed' - a Paper on the Character and Implications of Risk in the Context of Economically Motivated Crime

September 12, 2011 - Paper was presented at the 29th Annual Symposium on Economic Crime in Cambridge, England.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1926195

ABSTRACT:

“Regardless of our concern for privacy, real-time criminal activity information is being disseminated throughout cyberspace by the private sector. This information is growing very quickly while being archived for search and retrieval on a long term basis. This is inevitable and could not, nor should not, be stopped.

Law enforcement and government policy makers should consider the risk of sharing with the risk of not sharing and allow the private sector to participate in the management of this risk in an informed way. The private sector has the right to be as well informed as law enforcement – they often have as much, if not more, to lose.

Law enforcement should also not be afraid of true partnership with the private sector - meet with privacy experts within government and reconsider the level of secrecy attached to the criminal records and crime reports that have been carefully guarded for all of these years. Whenever and wherever possible, secrecy levels should be abandoned, particularly when one can make a reasonable argument for a 'duty to warn'. There is an opportunity to use valid substantiated information to clarify, correct and override some of the misinformation that is available openly on the Internet.

Fully transparent reported crime along with fully transparent end-results (i.e. criminal records) could be a wondrous thing for the safety of our citizens. It is time that the private sector received more on their side of the private sector law enforcement partnerships. This would undoubtedly go a long ways in preventing much crime before it happens as more and more business people and safety-minded members of each community become better informed about who they are dealing with. The risks associated with being uninformed are very high”.

3. 'The New Deal - Ensuring Integrity, Stability and Survival' - The Financial Crisis - A Perspective on Cause and Effect

September 6, 2010 - Paper was presented at the 28th Annual Symposium on Economic Crime in Cambridge, England.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1687652

ABSTRACT:

“The paper contains a perspective on the cause and effect of the “Financial Crisis” from a law enforcement point of view. While the financial crisis may not have been directly caused by crime, it was aided and abetted by sophisticated economic crime. And, the crisis had the effect of revealing significant financial crimes. It is not all bad news - there are opportunities to be explored - a chance to revisit the social policy and strategic frameworks for dealing with white collar crime. While we can hope for strong economic growth that will cause us to forget about the crisis in a few years, we should get ready for some serious

belt tightening as our governments are faced with the daunting task of paying for the stimulus. We should also be prepared for taxpayers demanding that we investigate allegations of stimulus funding gone array and many more complaints from anxious investors who have lost their life savings and watched their equity all disappear. Finally, things may get a lot worse. There are many other pressures to consider such as the increased demand put on our social network caused by an aging society, a global food shortage and a western society that has never experienced going without. As we witnessed in Greece this past year, we now know that this can all lead to rioting in the streets.”

4. "Real-Time Enforcement of Crime in the Capital Markets"

September 5, 2007 - Paper was presented at the 25th Annual Symposium on Economic Crime in Cambridge, England.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1767336

ABSTRACT:

“In the past few years, western countries have experienced a heightened level of concern for capital markets crime - concern that stems, at least in part, from the ever growing number of scandals plaguing our capital markets. Law enforcement around the globe is being pushed to pursue capital markets fraudsters with greater diligence. Key stakeholders are now losing patience and are demanding some real time examples of arrests and prosecutions. Investigators and Prosecutors of capital markets crime also have to deal with key stakeholders who are unsatisfied with the length of time it is taking to bring offenders to justice. They are demanding real-time responses to financial disasters and when dealing with perpetrators caught with their hand in the cookie-jar. In spite of increased resources and new innovative strategies, Law Enforcement often has difficulty in living up to stakeholder expectations. In some countries, there is little or no motivation for third party witnesses or facilitators of capital markets crime to cooperate with police investigations. Additional legislative tools may be necessary.”

5. "The Price of Corporate Crime: The Risks to Business"

September 5, 2006 - Paper was presented at the 24th Annual Symposium on Economic Crime in Cambridge, England and subsequently published in the Journal of Financial Crime, ISSN: 1359-0790.

<http://www.emeraldinsight.com/journals.htm?articleid=1585481&show=html>

ABSTRACT:

“Law enforcement officers around the globe are being pushed to deliver and will be pursuing corporate criminals with greater enthusiasm. Shareholders have started choosing their investments based on social responsibility and ethical leadership. Corporate crime has finally become socially unacceptable!”

6. "Organized Crime in Business"

September 2, 2005 - Paper was presented at the 23th Annual Symposium on Economic Crime in Cambridge, England and subsequently published in the Journal of Financial Crime, ISSN: 1359-0790.

<http://www.emeraldinsight.com/journals.htm?articleid=1576624&show=html>

ABSTRACT:

“Canada is having good success in using the concepts of integrated policing in the implementation of our Integrated Market Enforcement Teams (IMETs) to detect, investigate and prevent capital markets crime. This paper identifies the need for integrated policing in order to facilitate and foster close working relationships with key stakeholders in the various levels of regulatory enforcement and disciplinary agencies in order to target those who commit some of the larger organized financial crimes.”

7. *“The Canadian response to the Sarbanes-Oxley Act: managing police resources; a competency-based approach to staffing”*

Published in 2005 in the Journal of Financial Crime, ISSN: 1359-0790.

<http://www.emeraldinsight.com/journals.htm?articleid=1533910>

ABSTRACT:

“Co-written by John Sliter, IMETs Superintendent Director, Integrated Market Enforcement Program, Carl-Denis Bouchard, PHD student, RCMP-HR Business Line Partner for Federal Services and Guy Bellemare, PhD, Professor in Industrial Relations at Universite du Quebec en Outaouais. This paper examines how the Royal Canadian Mounted Police (RCMP) successfully implemented a tailor-made Human Resources (HR) management regime with fresh definitions of competences for the new Integrated Market Enforcement Teams (IMETs). Explains that the intention was to increase competences for investigation of white-collar crime in the wake of corporate scandals in the USA, and thus to restore investor confidence in Canada’s capital market. Details the IMET pilot project, including selection of personnel from the RCMP for the six IMETs. Concludes that the new HR regime clearly has the ability to change how people are managed in the investigation field.”

8. *“Internationalization of Financial Systems and the Impact of Technology”*

September 8, 2004 - Paper was presented at the 22nd Annual Symposium on Economic Crime in Cambridge, England.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1767504

ABSTRACT:

“The global interconnectedness of crime today is unlike anything we have ever seen before. And because of all of this, it became obvious that we need to start thinking in very different ways about the nature of crime. Some creativity is needed. We need to make use of technology to allow a greater focus on an integrated and intelligence-led approach. This means, international coordination as we have never had before. We require a culture in which "whistle blowing# is strongly encouraged. Next, we need to couple that with a crime reporting system that is able to get over the high hurdles caused by numerous regulatory borders and concern for sovereignty.

In Canada, we are generally optimistic that we have come a long way in the past few years in strengthening enforcement and reassuring jittery investors, but we know that there is still much more to do.

Our Canadian RECOL system of complaint distribution is being used as a pilot for the G8 Law Enforcement Projects Sub-Group ("LEPSG") to encourage more and more countries to join us in an international intelligence sharing project.”

9. “Techno risk - technology and intelligence data need to be pushed”

September 2, 2003 - Paper was presented at the 21th Annual Symposium on Economic Crime in Cambridge, England and subsequently published in the Journal of Financial Crime, ISSN: 1359-0790.

<http://www.emeraldinsight.com/journals.htm?articleid=1537305&show=html>

ABSTRACT:

This paper focuses on the need to exploit modern technology to fight crime, remembering that information is only data until it is shared - and it needs to be shared quickly and globally. Describes the RECOL initiative of the Royal Canadian Mounted Police; its objective is to give citizens a single entry point, via the Internet, to lodge a complaint about any fraud and have it directed efficiently to the appropriate agency, wherever that may be, for actioning. Speculates that this could be the model for the future if separate police forces can be made to talk to each other, and refers to the G8 stewardship of the RECOL project; all crime could be reported this way, using digital photography and facial recognition software. Allows that there are privacy concerns, however, especially in regard to recognition software.

10. “The Internet and Criminal Law: The Detection and Investigation of Stock Fraud”

October 12, 2000 - Paper was presented at the conference on ‘Science, Truth and Justice’ in Victoria, British Columbia, Canada.

http://www.ciaj-icaj.ca/index.php?option=com_content&view=article&id=286:2000-science-truth-and-justice

ABSTRACT:

Some might think that the problems associated with international securities fraud on the Internet are overwhelming. The author asserts that in spite of some significant identified challenges, the RCMP is up to the challenge.

11. “Canada: New Technological Developments to Detect Electronic Crime in the Securities Industry”

September 16, 1997 - Paper was presented at the 15th Annual Symposium on Economic Crime in Cambridge, England and subsequently published in 1998 in the Journal of Financial Crime, ISSN: 1359-0790. <http://www.emeraldinsight.com/journals.htm?articleid=1650481&show=abstract>

ABSTRACT:

Technologically, the Canadian securities industry has become extremely complex and this has presented a serious challenge to law enforcement agencies. The industry continues to make large investments in systems technology and electronic equipment in order to participate in an increasingly fast paced and global system. In addition, Canadian investment firms have been taken over by large banks which has done a great deal to further strengthen their global position. The Royal Canadian Mounted Police (RCMP) has come to the realization that an effective enforcement strategy would have equally to invest in technology and to be prepared to undertake investigations of increased international dimension.

12. “A Policy Review of the Role of the Royal Canadian Mounted Police in Securities Fraud Enforcement”

April, 1994 - Paper was presented as a requirement for Executive Masters of Business Administration Program at Simon Fraser University in Burnaby, British Columbia, Canada.

http://papers.ssrn.com/sol3/papers.cfm?abstract_id=1152847

ABSTRACT:

The Royal Canadian Mounted Police has been investigating Securities Frauds for almost 40 years. There is a perception that the junior capital markets are rampant with fraud. RCMP investigators are frustrated with their lack of success in protecting the integrity of the public market place. This paper outlines some of the reasons for this ineffectiveness and provides some concrete suggestions for improvement. Comments are supported by quotations from numerous past RCMP stock fraud investigators.